

TFW 2631

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. 112740-1080 | |
|---|-----------------------------|---------------------|-----------------------|---------------------------|--------------------------|
| In Re Application of Jorg Heuer et al. | | | | | |
| Application No. 10/537,501 | Filing Date June 3, 2005 | Examiner Unknown | Customer No. 29177 | Group Art Unit 2631 | Confirmation No. 3486 |
| Title: METHOD FOR ENCODING AN XML-BASED DOCUMENT | | | | | |
| <p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> | | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112740-1080

In Re Application: Jorg Heuer et al.

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| Application No. 10/537,501 | Filing Date June 3, 2005 | Examiner Unknown | Customer No. 29177 | Group Art Unit 2631 | Confirmation No. 3486 |
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Title: **METHOD FOR ENCODING AN XML-BASED DOCUMENT**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Signature

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Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

November 3, 2005

(Date)

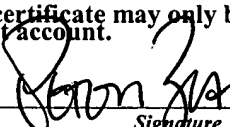
Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: November 3, 2005


Peter Zura (Reg. No. 48,196)
Customer No.: 29177

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jorg Heuer et al.
Appl. No.: 10/537,501
Conf. No.: 3486
Filed: June 3, 2005
Title: METHOD FOR ENCODING AN XML-BASED DOCUMENT
Art Unit: 2631
Examiner: Unknown
Docket No.: 112740-1080

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

OTHER DOCUMENTS

"Information Technology – Multimedia Content Description Interface – Part 1 Systems Technologies De L'Information – Interface De Description Du Contenu Multimedia – Parts 1: "Systems" International Standard ISO-IEC XX, XX Vol. 15938-1, 1 July 2002, pages I-V, 1, XP009032887

Neven F.; "Automata, Logic and XML" Proceedings of the 16th International Workshop and 11th Annual Conference of the EACSL o Computer Science Logic, 22 Sept. 2002, pages 2-25, XP002306573, ISBN 3-540-44240-5

Cowan J: "Re Attributes v Elements", Internet Citation 17 May 2001, XP002306574

Bourret R: "Mapping DTDs to Databases", Internet Citation, 9 May 2001, XP002306575

Niedermeier U. et al., "An MPEG-7 Tool for Compression and Streaming of XML Data", Proceedings IEEE International Conference on Multimedia and EXPO, Vol. 1, 26 August 2002, pages 521-524 XP010604420

XML Schema Part 0: Primer, W3C Recommendation 2 May 2001,

XML Schema Part 1: Structures W3C Recommendation 2 May 2001

Appl. No. 10/537,501


XML Schema Part 2: Datatypes, W3C Recommendation 2 May 2001

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY


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Phone: (312) 807-4208

Dated: November 3, 2005

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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) NOV 07 2005 PTO Form 1449 | Atty Docket No. 112740-1080 | Application No. 10/537,501 |
| | Applicant Jorg Heuer et al. | |
| | Filing Date 6-3-05 | Group 2631 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|------------------------|--------------------|---------------------|----------|-------|----------|----------------------------------|--|
| Examiner's Initials | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation | | |
| | | | | | | Yes | No | |
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| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
|------------------------|--|
| | "Information Technology – Multimedia Content Description Interface – Part 1 Systems Technologies De L'Information – Interface De Description Du Contenu Multimedia – Parts 1: "Systems" International Standard ISO-IEC XX, XX Vol. 15938-1, 1 July 2002, pages I-V, 1, XP009032887 |
| | Neven F.; "Automata, Logic and XML" Proceedings of the 16 th International Workshop and 11 th Annual Conference of the EACSL o Computer Science Logic, 22 Sept. 2002, pages 2-25, XP002306573, ISBN 3-540-44240-5 |
| | Cowan J: "Re Attributes v Elements", Internet Citation 17 May 2001, XP002306574 |
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| | XML Schema Part 0: Primer, W3C Recommendation 2 May 2001, |
| | XML Schema Part 1: Structures W3C Recommendation 2 May 2001 |

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| Examiner: | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |